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Introduction

Surface activity

Surfactants

Surfactant effects

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Reaction media

Summary

Interfacial Science & Engineering

Introduction and Applications

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2015





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- Established in 1933 - Industry's wish
- Chem Engg + Pharma + seven Chem Tech
- 250 graduate with Bachelors/yr (~1000)
- 100 to 150 complete Doctorates/yr (~700)
- Close to 200 complete post graduation/yr (~400)
- Highest Chemical Tech/ Engg publications in India
- 4th rank by publications per faculty across the globe
- 42% of Chem + Pharma Industry in India votes for ICT
- ~30% are entrepreneurs - who's who in CI, Padma Awardees
- CII-AICTE award for best industry related institute



Outline

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Intermolecular interaction forces in fluids:

- van der Waal's attractive forces
- Hydrogen Bonding - most important force in the most abundant solvent.
- Metallic bonds

in increasing order of magnitude.

- Molecules such as *n*-octane, *n*-dodecane are incapable of hydrogen bonding
- Consequently their presence in water results in unsatisfied hydrogen bonding needs of water molecules
- This increases the free energy of the solution and restricts the solubility of these molecules in water.



The Hydrophobic Effect

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- The origin of the hydrophobicity of a molecule as a solute is more in the interactions between water molecules than solute molecules themselves.
- It is the strong desire of the water molecules to stay together that leads to pushing out of the hydrophobic molecules.
- In turn it is the strong hydrogen bonding between water molecules that brings them close together and causes them to resent the presence of other molecules in their midst.



Surfactant

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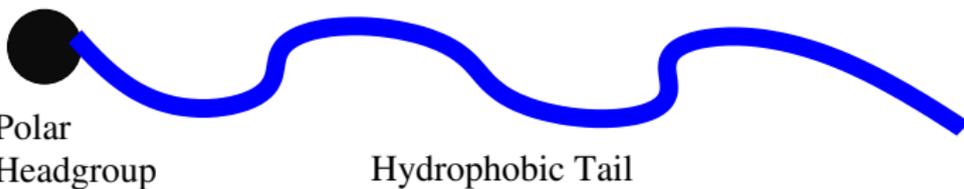
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A typical surfactant molecule

The dichotomy of the affinity in a surfactant molecule is matched perhaps only by a slippery politician's fence sitting attitude!



Adsorption

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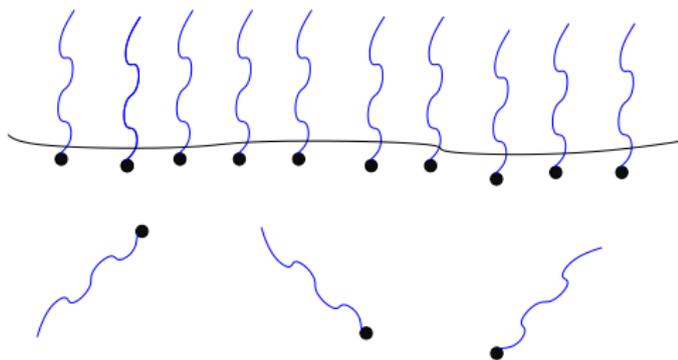
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The first choice that the unwanted molecules have is to go to the 'wall' or the interface.



Unusual property changes

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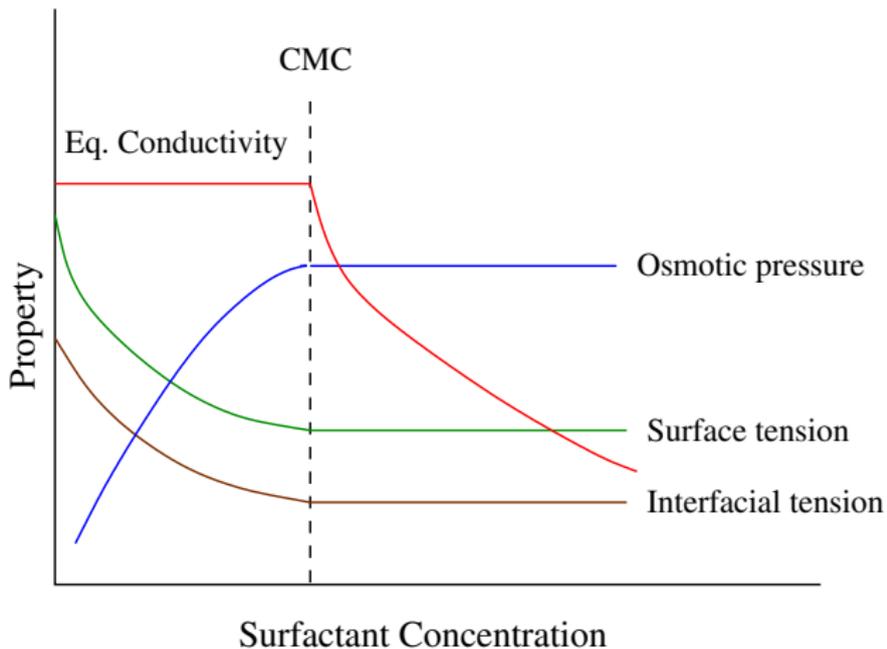
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Micelle

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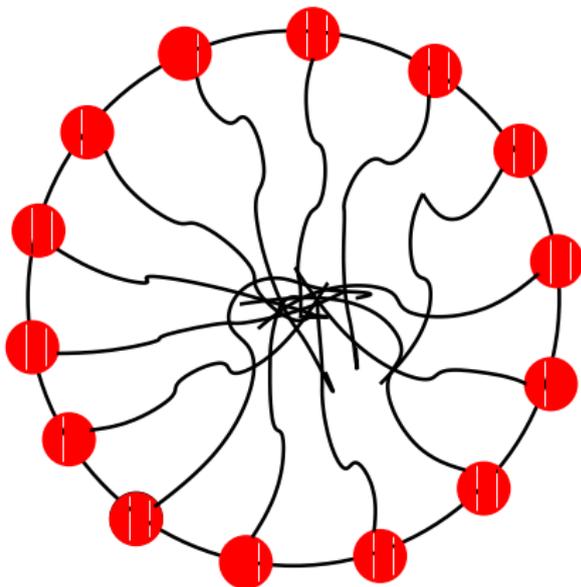
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SCHEMATIC OF A NORMAL MICELLE

Aggregates



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EXPECTED AGGREGATE CHARACTERISTICS

$\frac{v}{la_g}$	Expected structure	Molecule Type	
<0.33	Spherical/ Ellipsoidal		Large head group
0.33 – 0.5	Large cylindrical/ Rod shaped		Small head group
0.5–1	Vesicles, Flexible bilayers		Flexible double chain, large head group
1.0	Extended bilayers		Rigid double chain, small head group
> 1.0	Reverse micelle (water core)		Double chain bulky hydrophobe small head group



Krafft Point

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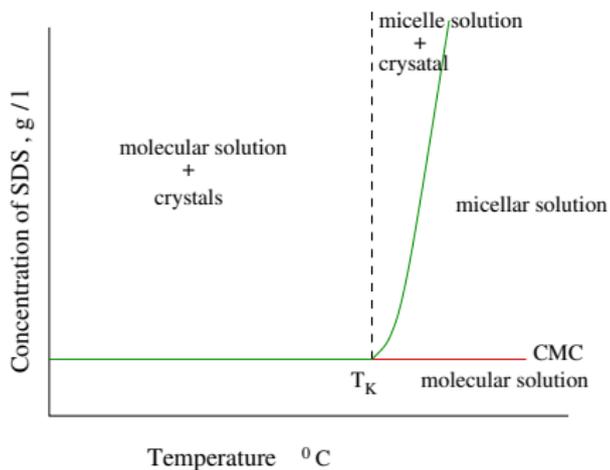
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ABOVE THE KRAFT POINT, SOLUBILITY INCREASES RAPIDELY.

THE SOLUTION THUS FORMED IS NOT A NORMAL MOLECULAR SOLUTION



Micellar shapes

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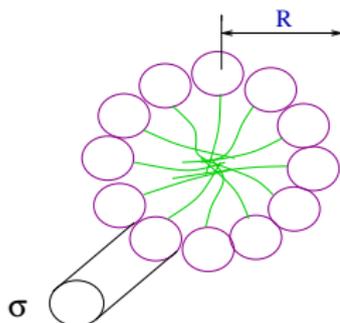
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SPHERICAL MICELLE



WATER

Interior ---- $R <= l_c$

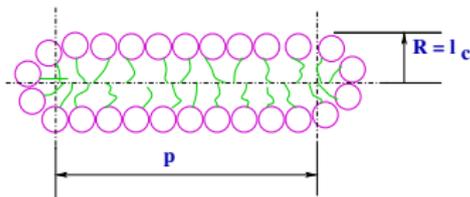
$$\text{Volume} = N \times V_c = \frac{4}{3} \pi R^3$$

$$\text{Area} = N \times \sigma = 4 \pi R^2$$

$$\frac{\text{Volume}}{\text{Area}} = \frac{R}{3} = \frac{V_c}{\sigma}$$

$$\text{Max. Spherical Micelle} \rightarrow \frac{V_c}{l_c \sigma} = 1/3 = f$$

CYLINDRICAL MICELLE



$$\text{Volume} = N \times V_c = 4/3 \pi R^3 + \pi R^2 p$$

$$\text{Area} = N \sigma = 4 \pi R^2 + 2 \pi R p$$

$$\text{And } R = l_c$$

$$f = 1/3 \text{ to } 1/2$$

$$\text{For infinite cylinder } f = 1/2$$



Core vs skin

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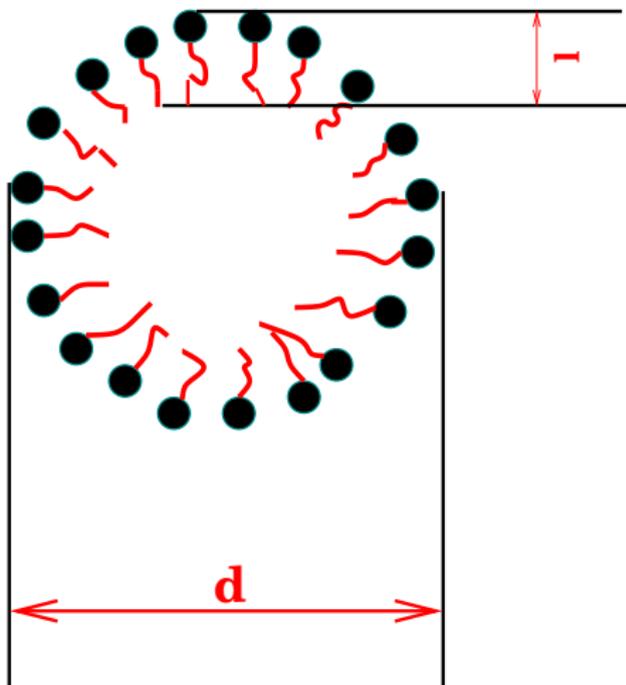
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Vesicles

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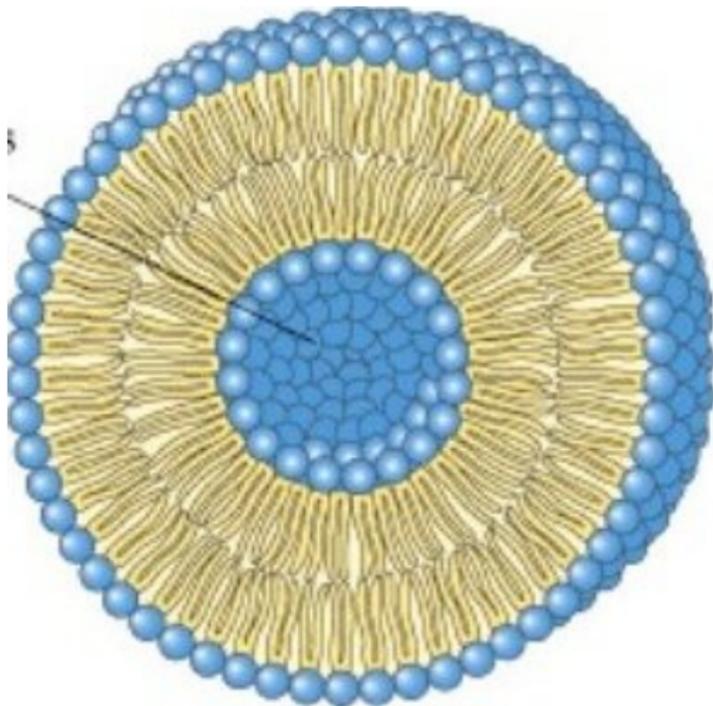
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Tail- hydrocarbon, fluoro hydrocarbon

- Branching
 - Straight chain
 - Branched
- Aromaticity
 - with aromatic ring
 - without aromatic ring
- Origin
 - Natural based
 - Petroleum based



Surfactants

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Summary

- Head group
- Ionic
 - Cationic
 - Anionic
 - Zwitterionic
- Non-ionic
 - Origin:
 - Natural : Sugar based
 - Petroleum :Ethylene oxide based
 - Solubility
 - Oil soluble (low HLB)
 - Water soluble (high HLB)



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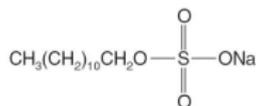
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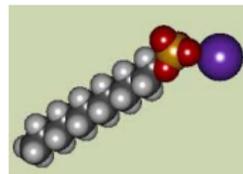
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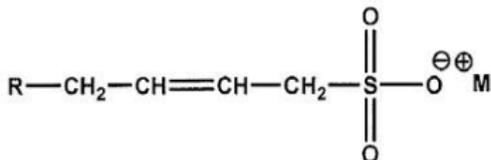
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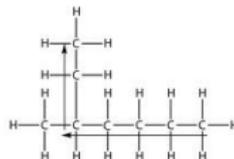
sodium lauryl sulphate



Straight, natural chain, anionic
Straight, Petroleum based, anionic



Petroleum based, Branched





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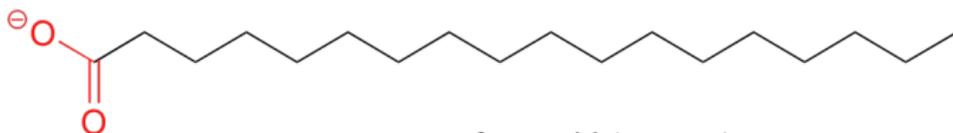
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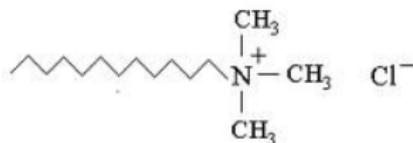
Reaction media

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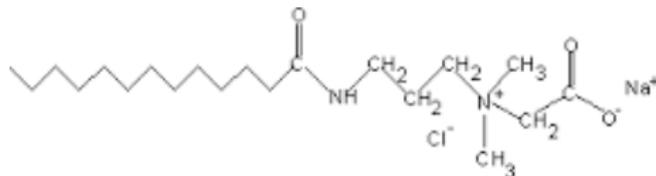
Head group: anionic



a common fatty acid (stearate)



Cationic:



Zwitterionic



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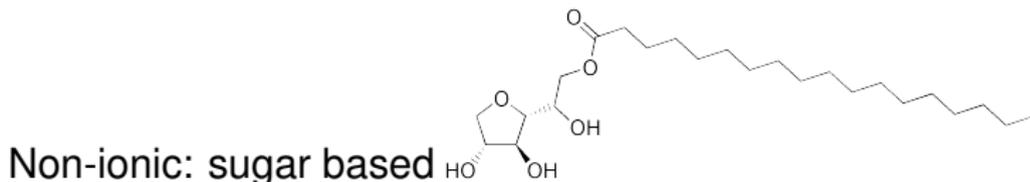
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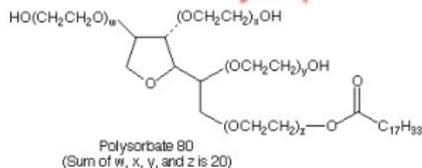
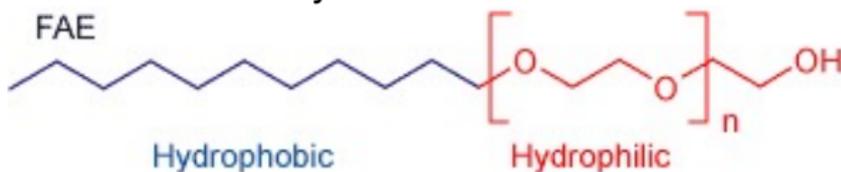
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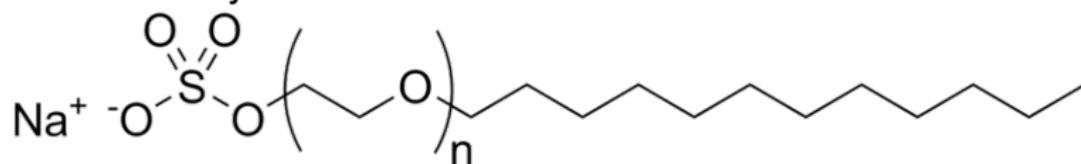


Non-ionic: Ethoxylate



Nonionic mixed

Mixed ethoxylate ionic :





Spreading

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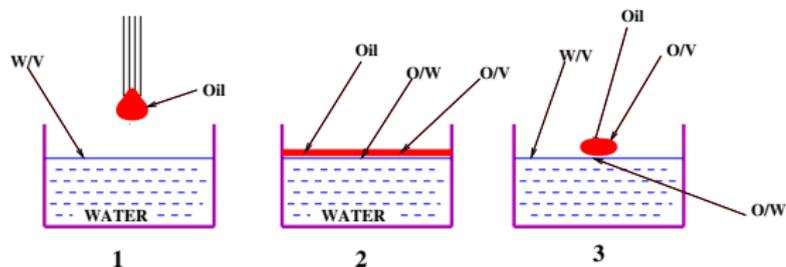
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SPREADING OF LIQUIDS



$$2) E = A \gamma^{o/w} + A \gamma^{o/v}$$

$$3) E = (A - a_2) \gamma^{w/v} + a_1 \gamma^{o/v} + a_2 \gamma^{o/w}$$

$$a_1, a_2 \ll A$$

$$\approx A \gamma^{w/v}$$

State of lower E is preferred

$S_{o/w} > 0 \longrightarrow$ Spreading occurs



Contact angle

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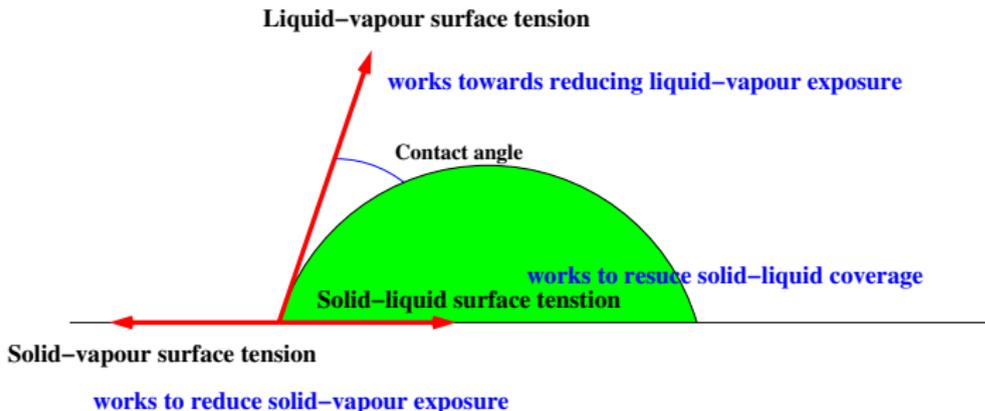
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Force balance (Young-Dupre equation):

$$\gamma^{LV} \cos \theta = \gamma^{SV} - \gamma^{SL}$$



Powder wetting

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Summary

- Powder wetting can be measured indirectly instead of contact angle.
- Washburn equation relates the rate of uptake of a liquid in packed powder to the contact angle.
- Two measurements - one with a low surface tension liquid (typically hexane) - and one with the solution are required.



Emulsions

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Summary

- Dispersion of two incompatible phases
- Higher proportion - continuous (exceptions)
- Stability limited (kinetic and not thermodynamic stability)
- Destabilization
 - Creaming (density, viscosity)
 - Ostwald ripening (Kelvin equation)
 - Wall breaking (Gibbs elasticity, surface viscosity)



Foams

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Summary

- Dispersion of gas in liquid
- Foam formation ability - foamability
- Foam stability
- Dynamics of surface tension (interfacial tension for emulsions)
- Bubble formation and coalescence before stabilization
- Rupture of wall (surface elasticity, surface viscosity)
- Foamability measurement



Microemulsion types

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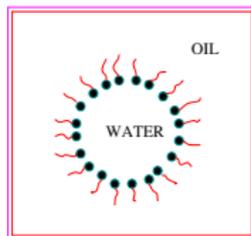
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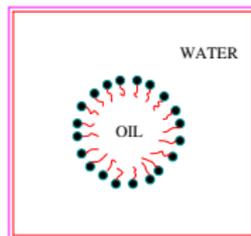
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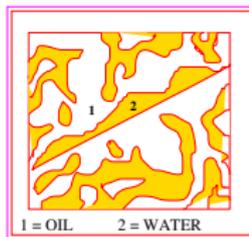
WATER IN – OIL MICROEMULSION DROPLET

$$V/a_l > 1$$



OIL – IN – WATER MICROEMULSION DROPLET

$$V/a_l < 1$$



BICONTINUOUS STRUCTURE

$$\frac{V}{a_l} \cong 1$$



Microemulsion vs swollen droplet

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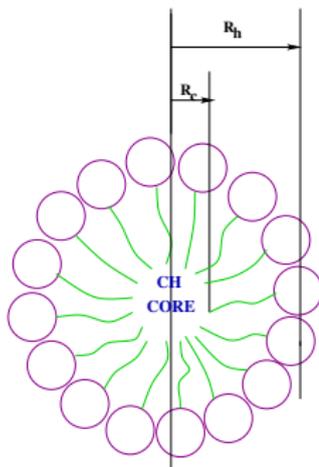
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SWOLLEN MICELLE



WATER

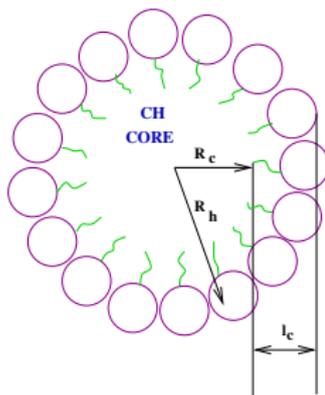
$$N V_c = \frac{4}{3} \Pi (R^3 - R_c^3)$$

$$N \sigma = 4 \Pi R^2$$

$$R - R_c = l_c$$

$$V_c / l_c \sigma \leq 1/2$$

MICROEMULSION DROPLET



$$V_c / l_c \sigma = 1/2$$



Structures in O-W-S systems

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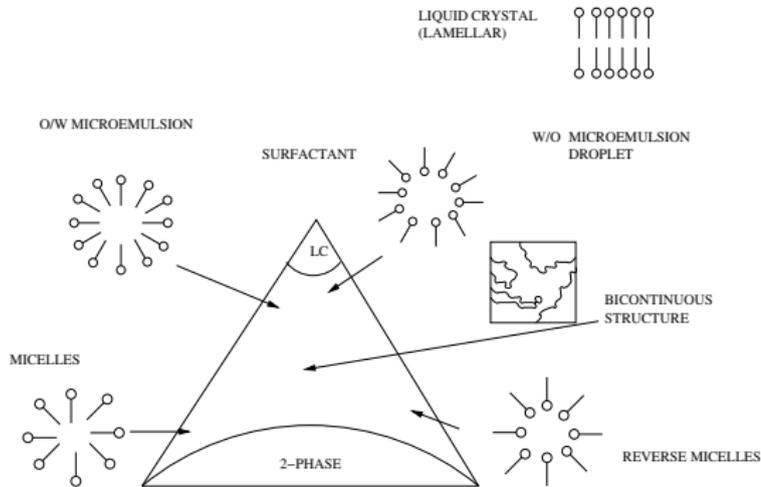
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Nano-structuring in surfactant solutions

Relative Thickness of Interphase and interfacial area



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	Diameter (nm)	Interphase (nm)	Volume (%)
Macroemulsions	~ > 1000	2.5	1.5
Microemulsions	10-100	2.5	15-85
Micelles	~ 2.5	2.5	~ 100

	Interfacial area (cm ² /cm ³)
L/L dispersions (5 mm size)	~ 1
Emulsion (50 μm size)	~ 10
Microemulsions (10 nm size)	~ 10 ⁶



Free energy minimum

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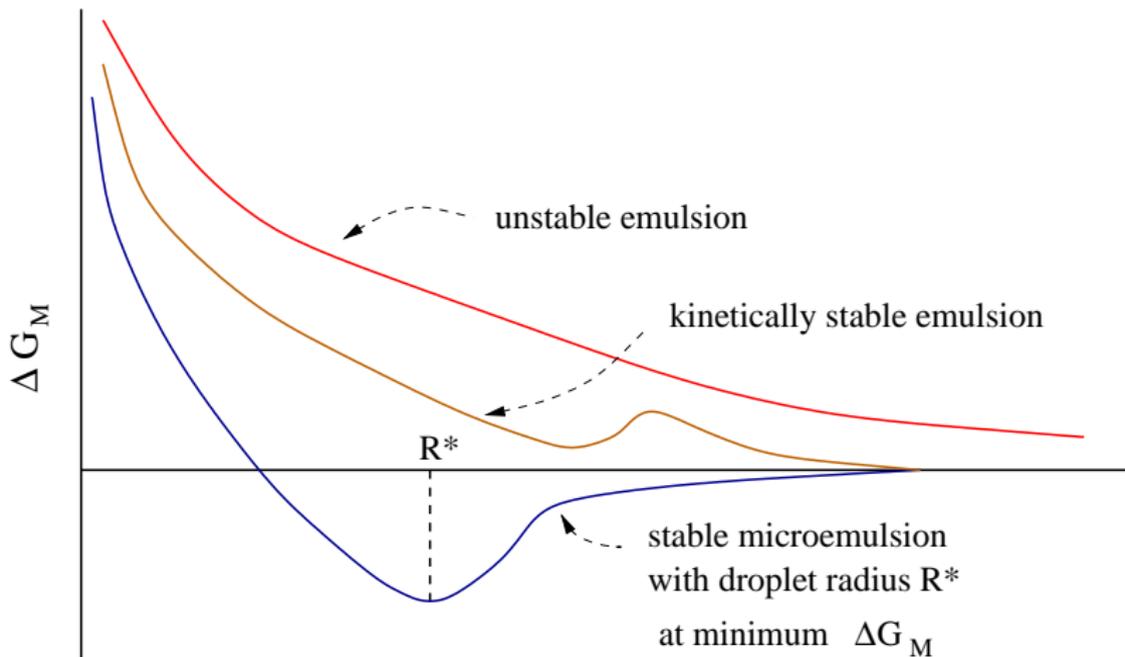
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Other free energy source



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MICROEMULSIONS HAVE VERY LARGE o/w INTERFACIAL AREA.

TO ACHIEVE THIS, $\gamma^{o/w}$ SHOULD BE VANISHINGLY LOW.

EQUILIBRIUM SIZE IS ATTAINED WHEN THE CHANGE IN FREE ENERGY DUE TO CHANGE IN SIZE IS ZERO.

TOTAL FREE ENERGY HAS TWO TERMS :

(1) SURFACE FREE ENERGY $\gamma \cdot A$.

(2) ENTROPY OF MIXING $-R \sum x_i \ln x_i$

$$\Delta G^E - T\Delta S = \Delta G$$

VOL. FRACTION = ϕ

NO. OF DROPLETS / UNIT VOLUME = $\frac{\phi}{\frac{\pi}{6} d^3}$

NO. OF SOLVENT MOLECULES / UNIT VOL: $\frac{(1-\phi) N_A}{V_M}$



$$X_{\text{droplets}} = \frac{\phi / (\frac{\pi}{6} d^3)}{(1-\phi) N/M + \phi / (\frac{\pi}{6} d^3)} \approx \frac{v_M}{N^3} \frac{\phi}{\frac{\pi}{6} d^3}$$

$$X_{\text{solvent molecules}} = 1 - X_{\text{droplets}} \approx 1$$

$$\text{Entropy} = -R \left[\frac{v_M}{N} \frac{\phi}{\frac{\pi}{6} d^3} \ln \left(\frac{v_M}{N} \frac{\phi}{\frac{\pi}{6} d^3} \right) + 1 \ln 1 \right]$$

$$\text{Surface free energy} = \gamma^{o/w} \cdot \frac{\phi}{\frac{\pi}{6} d^3} \cdot \pi d^2 = \frac{6\phi}{d} \gamma^{o/w}$$

$$\begin{aligned} \text{Total free energy} \\ = - \left(-RT \cdot \frac{\phi v_M}{\frac{\pi}{6} d^3} \ln \left(\frac{v_M}{N} \frac{\phi}{\frac{\pi}{6} d^3} \right) \right) + \frac{6\phi}{d} \cdot \gamma^{o/w} \end{aligned}$$

SOLVING THIS FOR A $d \sim 50 \text{ nm}$, $\phi = 0.05$,
 $\gamma^{o/w}$ HAS TO BE $\sim 0.001 \text{ dyne/cm}$.



Microemulsions

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- A microemulsion is a single phase composed of water, a water immiscible oil, surfactant and cosurfactant; which is optically isotropic and thermodynamically stable.
- It appears translucent or transparent to visible light. In the case of oil -in -water microemulsions, the oil forms extremely small droplets of size in the range of 10-100nm that are surrounded by surfactant molecules.
- The criterion generally accepted for the size of these droplets is that the diameter should be less than 1/4th of the wavelength of visible light.
- These 'microdroplets' consist of a cluster of a few hundred molecules or less and can, therefore, behave very differently from macroemulsion droplets.

Applications of surfactants and microemulsions

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Formulations where there is a need for mixing hydrophobic and hydrophilic substrates

- Paints
- Pharmaceuticals
- Dyeing
- Fuel additives
- Food additives
- Pesticides
- Petroleum



Applications of Surfactants

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- Pulp and paper: wetting, waste de-inking, resin removal
- Mineral and metallurgical engineering: hydrometallurgy, coal antidusting agents, coal crushing
- Leather: degreasing, pigment formulations
- Cosmetics: formulations
- Printing: stable ink for jet printing
- Safety and pollution abatement: fire fighting foams, absorbent for pollutants
- Civil engineering: additives for water reduction, plasticizers, and superplasticizers
- Electronics: special surface treatment of semiconductors
- Explosives: formulations



Coal antidusting

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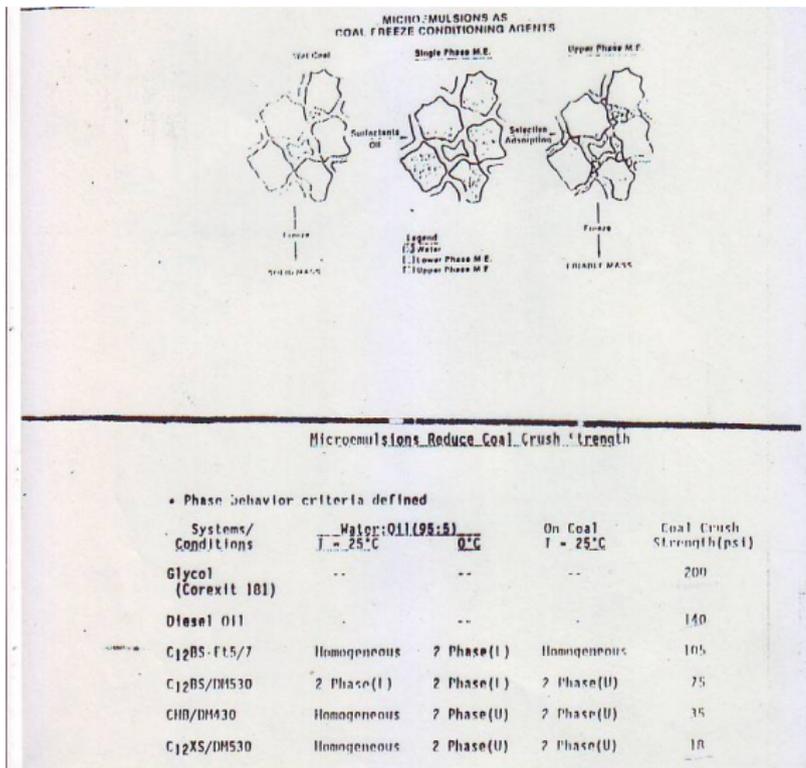
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Textile applications:

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- Scouring of wool: wool grease, soil and salts
- Scouring of cotton: Hemicellulose and lignin
- Scouring of synthetics: Oligomer removal

And

- Spin finishes: at all stages
- Dyeing: Wetting and dispersing agents
- Softening: nonionic and cationic surfactants
- Laundering: simultaneous removal of hydrophobic and hydrophilic soil



Fabric wetting

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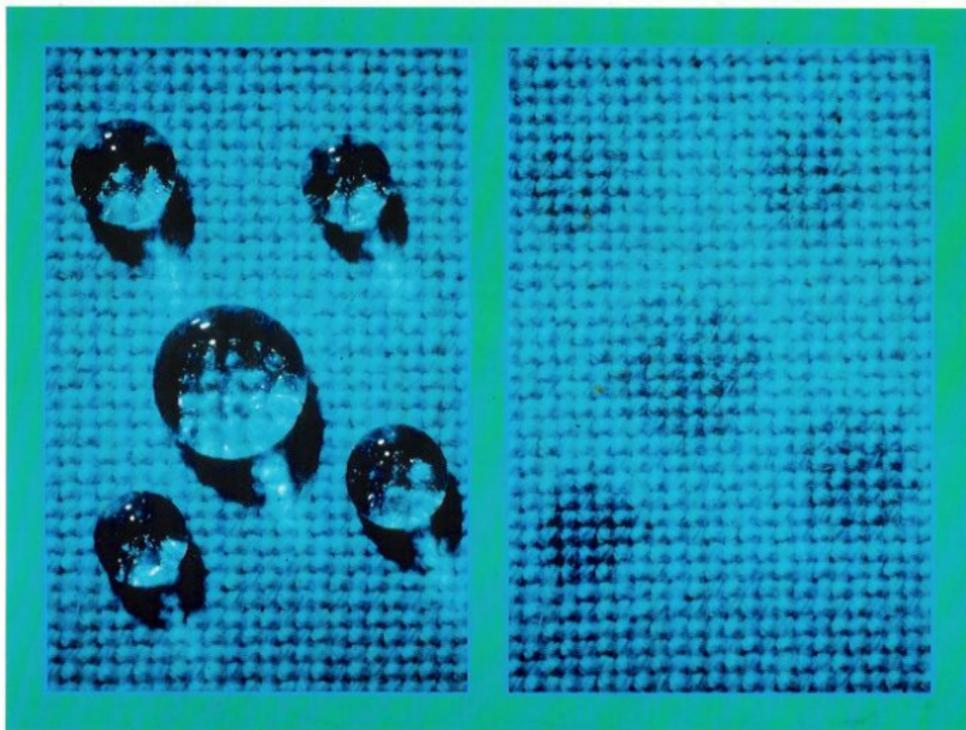
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Textile wetting





Detergency

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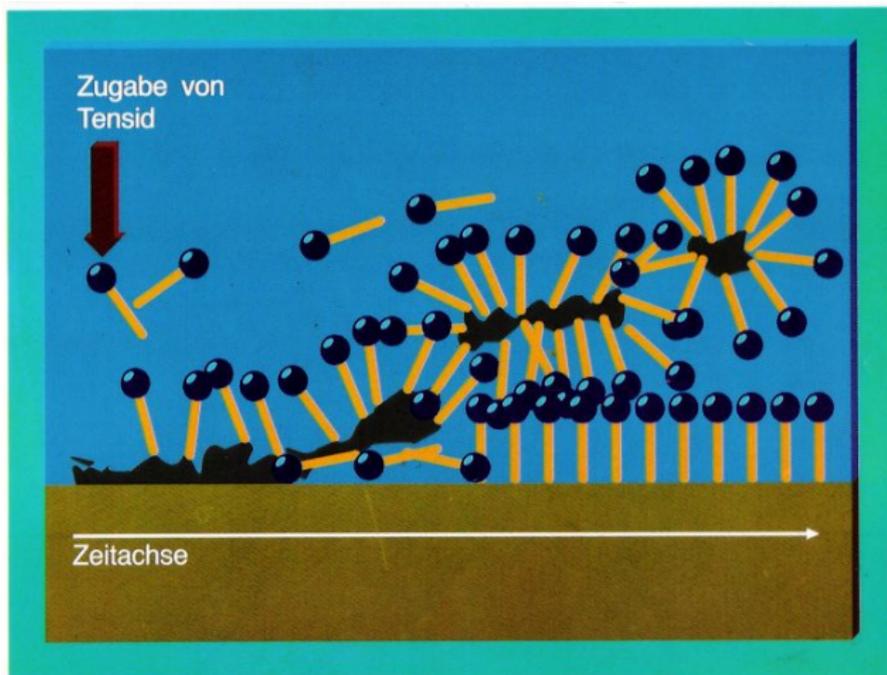
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Pharmaceutical Technology

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Summary

- Prolonged action and protection of biodegradable molecules
- Better handling and ease of preparation
- Water insoluble drugs in aqueous media
- Incorporation of high oxygen carrying capacity substances
- Faster diffusion rates
- Better penetration ability
- Decreased toxicity and improved potency



Pesticides and drug delivery

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Summary

- Controlled release formulations, gels
- Many bioactives are insoluble/ rendered inactive in water
- Rapid diffusion
- Targetted delivery (bacteriophage)
- Wetting of foliage
- Artificial blood- (fluorocarbon)
- Models of cell wall



Lubricants and additives

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Summary

- Combustion products are acidic and hence corrosive
- Alkaline particles can neutralise these
- Particles should aid in lubrication
- neutralised products to be non-corrosive and inobtrusive
- Prepared in reverse microemulsions acting as 'Microreactors'



Agrochemicals

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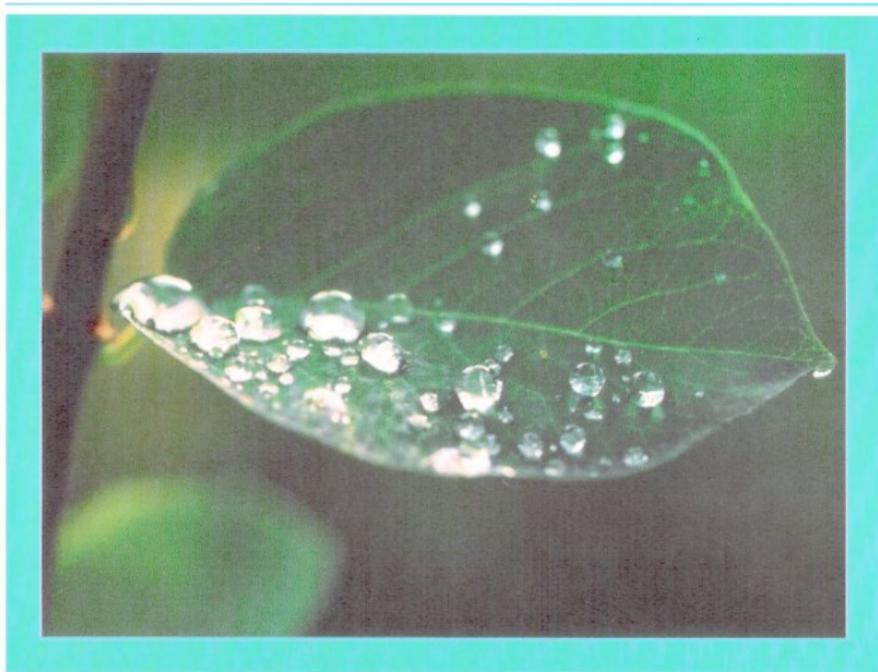
Microemulsions

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Summary



Upper half leaf : wetting

Upper half of the leaf - wetting.



Petroleum Recovery

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- Microemulsion flooding
 - low interfacial tension aids oil displacement
 - optimal salinity- maximum recovery efficiency
 - o/w, w/o, middle phase - all useful
 - e.g. Na petroleum sulfonates, dialkyl quaternary ammonium compounds
- Drilling fluids: high viscosity, good heat capacity
- Plugging agents



Petroleum recovery

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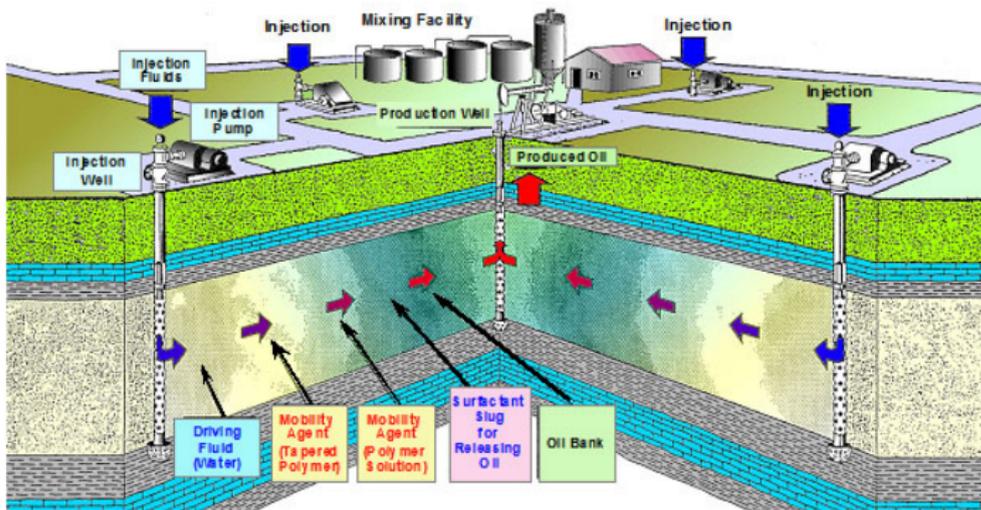
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Gasoline, diesel, fuel oils microemulsions

- Reduced peak temperature leading to lower NO_x emission
- Higher flash point
- controlled pool burning
- Safety in storage and transport without affecting atomised burning in engine
- Can water help fuel burn? Yes! Better fuel atomization



Advantages of Surfactant media for reactions

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- Enhancement in overall reaction rate
- Favorable orientation of molecules
- Manipulation of Regio- and Stereo selectivity
- Localised concentration of reagent
- Change in locale of reaction



Solubilization and Orientation

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Summary

- Micellar solubilization of phenols is greatly affected by position of the substituent group in aromatic ring
- Difference in solubilities can be explained in terms of the orientation of molecule adsorbed on the micelle
- The solubilization with specific orientation is useful in micellar regioselective synthesis



Alkaline Hydrolysis

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- 2,4-dinitrochlorobenzene – by cationic micelles – sp. rate increase 10-100 fold
- p-nitrophenyl diphenyl phosphate – hexadecane in water microemulsion stabilized by CTAB & 1-butanol.
- p-nitrophenylbenzoate – CTAB & sodium dodecyl sulphate microemulsions.
- 2,4 dichlorophenyl benzoate – sp. rate increases by 45 fold



Oxidation

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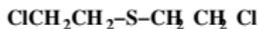
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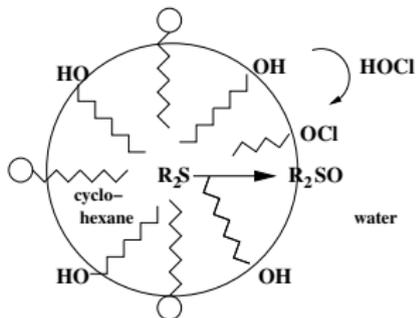
Half mustard oxidation by tert-butyl hydroperoxide & hypochlorite



MUSTARD



Half MUSTARD



Destruction is very rapid in microemulsion



Oxidation - prevention

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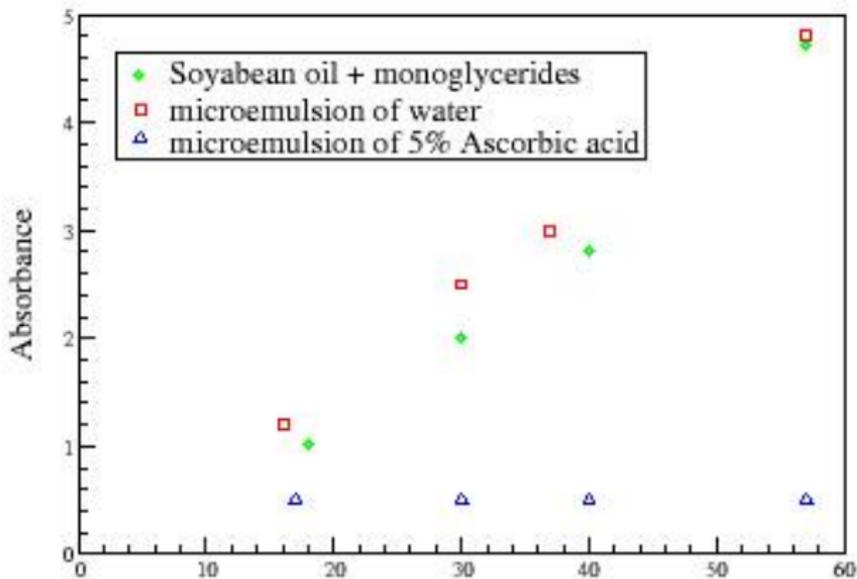
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Oxidation of fats results in rancidity. Most antioxidants are oil insoluble





Oximation of cyclododecanone

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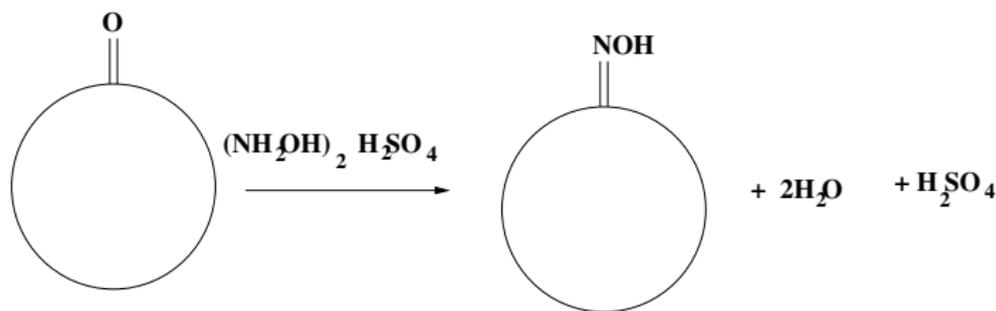
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600 fold enhancement in the specific rate of the reaction in microemulsions of SLS/n-butanol



Reactions

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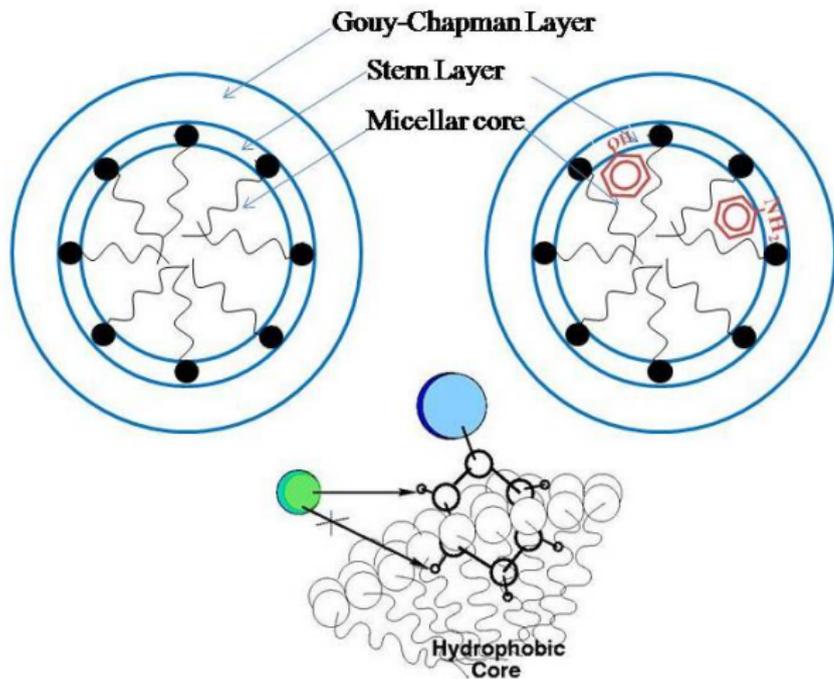
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The chlorination of aromatic compounds

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- Substituted benzenes show strong orientation effects for this electrophilic substitution reactions
 - Deactivating $-\text{NO}_2$ *meta*- directing
 - Activating $-\text{CH}_3$ *ortho*- *para*- directing
 - Activating $-\text{Cl}$ *ortho*- *para*- directing
 - Activating $-\text{OH}$ *ortho*- *para*- directing
- Different agents for chlorination include chlorine gas, sodium or calcium hypochlorite, phosgene, sulfuryl chloride, HCl-O_2 , $\text{HCl-H}_2\text{O}_2$



Phenol Chlorination

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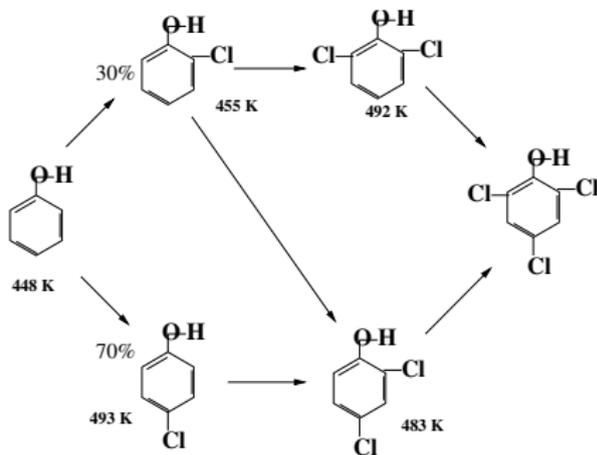
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Summary

Excess chlorination → Trichlorophenol



Close boiling points → Isolation difficult



Orientation

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Orientation of phenol in micelles indicated by NMR shift

Medium	<i>para</i> -shift	<i>meta</i> -shift	<i>ortho</i> -shift
0.25M SDS	40	30	10
0.1M CTAB	60	40	05
0.1M CPC	100	70	20

Phenolic -OH is a polar group affected by pH.
micellised phenol : *ortho*-position exposed while the
para-position buried deep in the micelle
NMR shift for the *ortho*- position is more than 3 times larger
than that for the *para*-position
Our results: *ortho*- / *para*- ratio of upto 8 in dichloromethane
solvent, upto 12 in aqueous medium with $HCl - H_2O_2$
reagent.



Emulsion polymerisation

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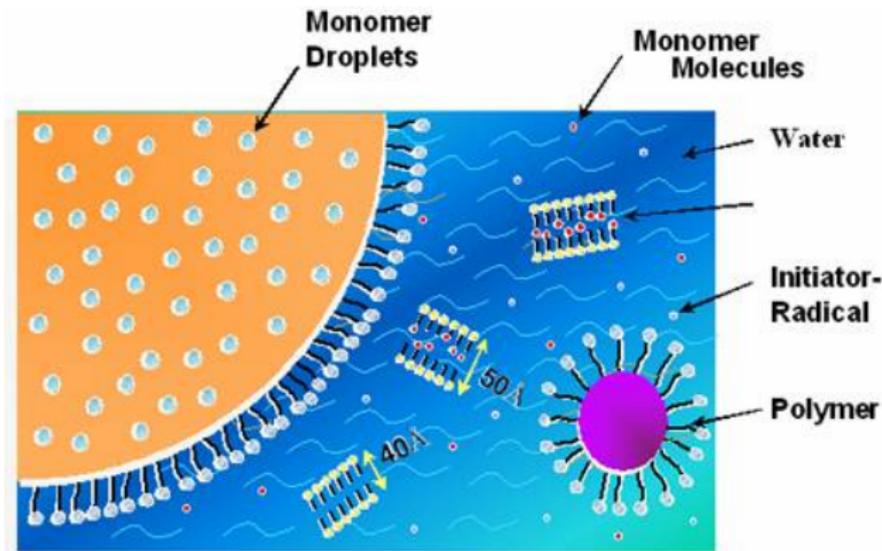
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Dual role of surfactant in emulsion polymerisation

1. Formation of initial monomer emulsion
2. Stabilization of growing and final polymer particles





Types of Emulsion polymerisation

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Types	Particle size
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Macroemulsion	1-100 μm
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Seeded dispersion	200-330 nm
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Miniemulsion	50-500 nm
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Microemulsion	10-50 nm
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Latex is an industrially important product form

e.g. Paints, Tyres, paper coatings, textile binders adhesives etc.



Paints and Surface coatings

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Summary

Both water and solvent based systems have shortcomings while microemulsions combine advantages of both¹

- Higher molecular weight material than water borne
- Non polluting compared to solvents
- High gloss film with strain resistance since system remains isotropic throughout evaporation
- Excellent penetration

¹e.g. Pol 25, 1357

Growth of ultrafine particles in microemulsions



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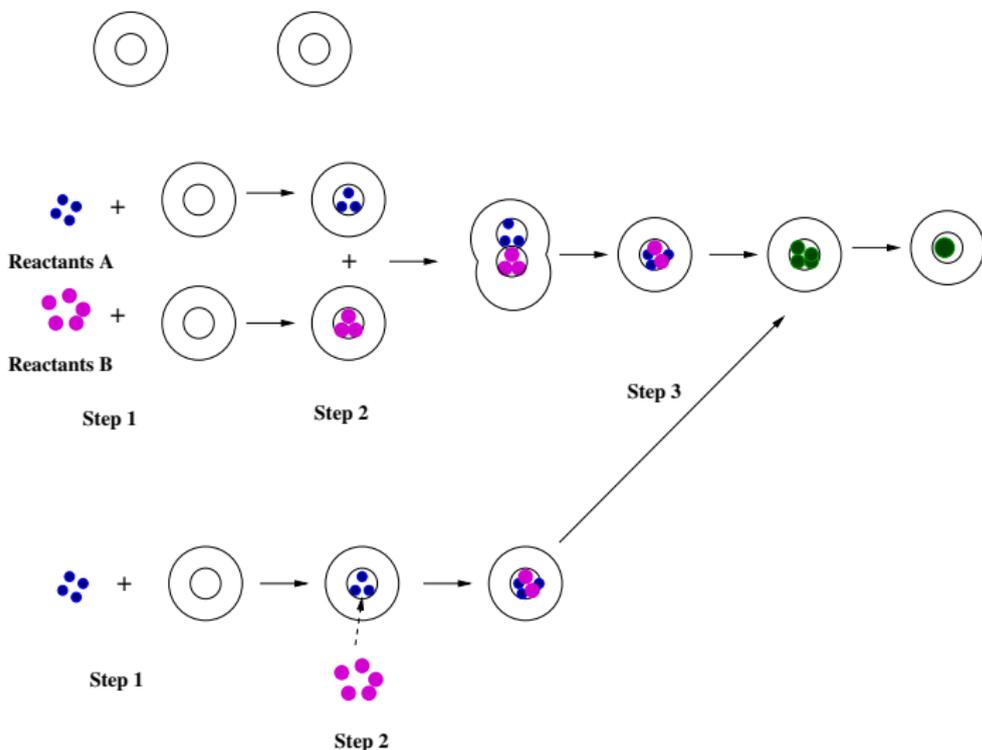
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Enzymatic Reactions

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Enzymes are inactive in hydrocarbon environment

- Enzyme activity is retained in W/O microemulsion, sometimes enhanced
- Substrate (e.g. steroids) is solubilized in the continuous phase of the microemulsion
- Enzyme is trapped in the water pools
- Large interfacial area between the two helps in reaction



Protein solubilization in reverse micelles

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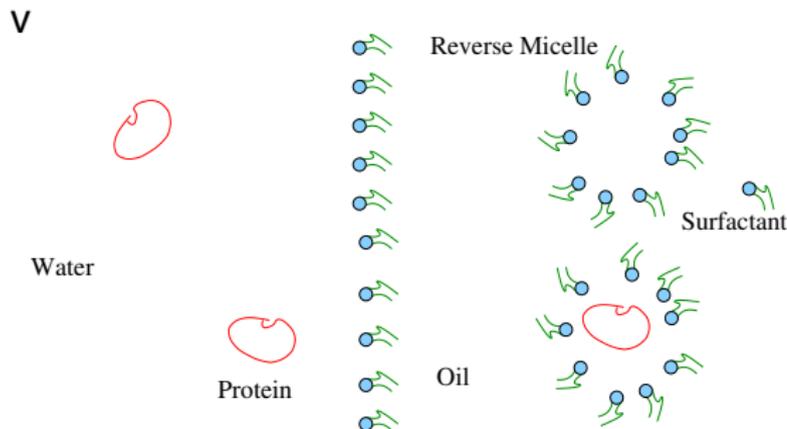
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Enzymatic glycerolysis: synthetic coco-butter

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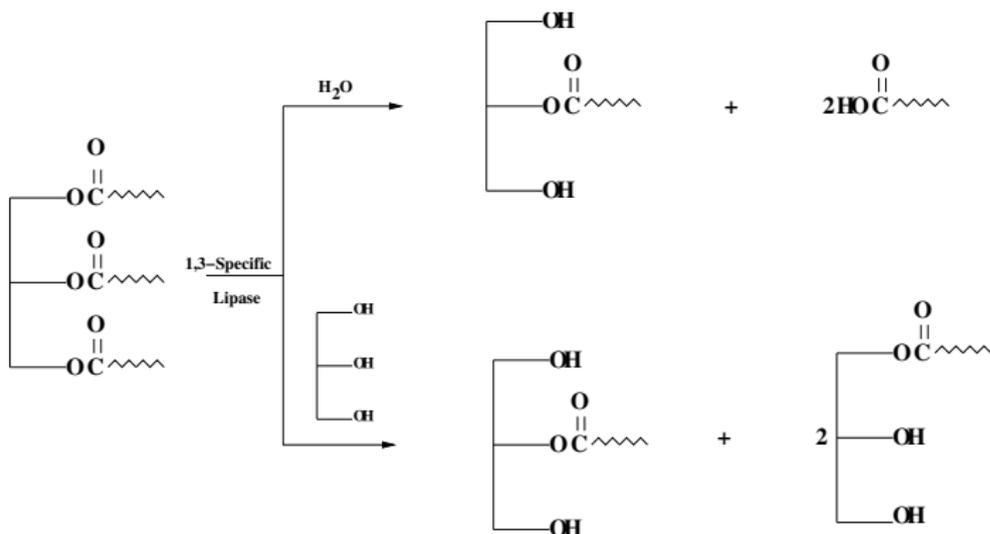
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Protein location in microemulsion

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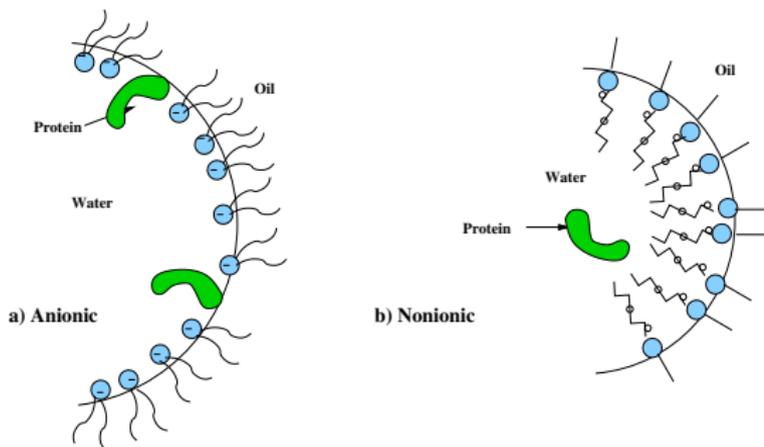
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Enzymatic activity is higher in (a) owing to better enzyme-substrate contact.



Nano-structured media for chemical reactions

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Summary

- Micelles are known to catalyze various chemical reactions
- Micelles can alter selectivity of reactions
- Micelles can offer physical protection of a group
- Solubilization leads to enhanced rates of reaction
- Orientation of molecules is responsible for the selectivity
- Orientation can be established by NMR shift



Summary

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Summary

- Interfacial science has applications in many fields
- Fundamentals behind these applications have many things in common
- Studying the common governing principles gives a better understanding of the underlying phenomena
- Applications are in physical systems as well as reactive systems
- Proper characterization of the materials involved as well as the surfactants reduces development time and improves efficacy



THANK YOU

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